



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Rand David DANNENBERG  
S/N: 09/944,050  
Filed: August 30, 2001  
For: "Optical Coatings and Associated Methods"  
Atty. Dkt.: M00A226

Group: n/a

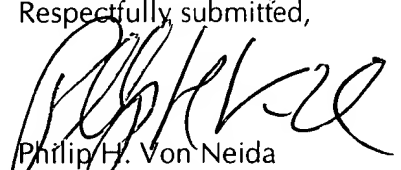
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application (copy enclosed) mailed October 9, 2001, Applicant submits the enclosed Declaration executed by him on November 26, 2001. Additionally, Applicant is submitting the statutory basic filing fee of \$710.00 and additional claims fees of \$404.00. The Commissioner is hereby authorized to charge to Deposit Account No. 02-2865, the \$1,244.00 fee required under 37 CFR 1.16(e).

The Commissioner is requested to grant any necessary extension of time and is authorized to charge any additional fee, if required, to our Deposit Account No. 02-2865. This request is submitted **in triplicate**.

The BOC Group, Inc.  
Intellectual Property Dept.  
100 Mountain Ave.  
Murray Hill, NJ 07974

Respectfully submitted,  
  
Philip H. Von Neida  
Attorney for Applicant(s)  
Regn. No. 34,942  
(908) 771-6402

Attachments: Original Declaration  
Notice to File Missing Parts

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 5, 2001.  
(Date)

Betty Lee  
Typed or printed name of person signing this certificate

Betty Lee  
Signature of person mailing



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,050	08/30/2001	Rand David Dannenberg	11582 M-10088 US

CONFIRMATION NO. 8351

## FORMALITIES LETTER



\*OC000000006873230\*

Skjerven Morrill Macpherson LLP  
Suite 2800  
Three Embarcadero Center  
San Francisco, CA 94111

Date Mailed: 10/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$404. 01/14/2002 HBERHE 00000093 022865 09944050
  - \$54 for 3 total claims over 20. 01 FC:101 740.00 CH
  - \$80 for 1 independent claims over 3. 02 FC:105 130.00 CH
  - \$270 for multiple dependent claim surcharge. 03 FC:103 54.00 CH
  - 04 FC:102 84.00 CH
  - 05 FC:104 280.00 CH
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1244.

*A copy of this notice **MUST** be returned with the reply.*

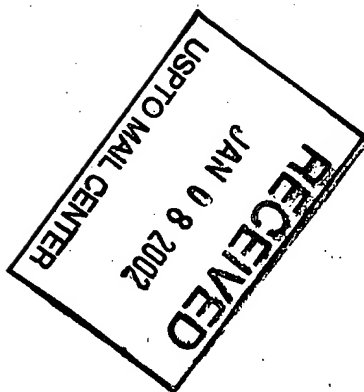
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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